

# **A Global Model-Agnostic XAI method for the Automatic Formation of an Abstract Argumentation Framework and its Objective Evaluation**

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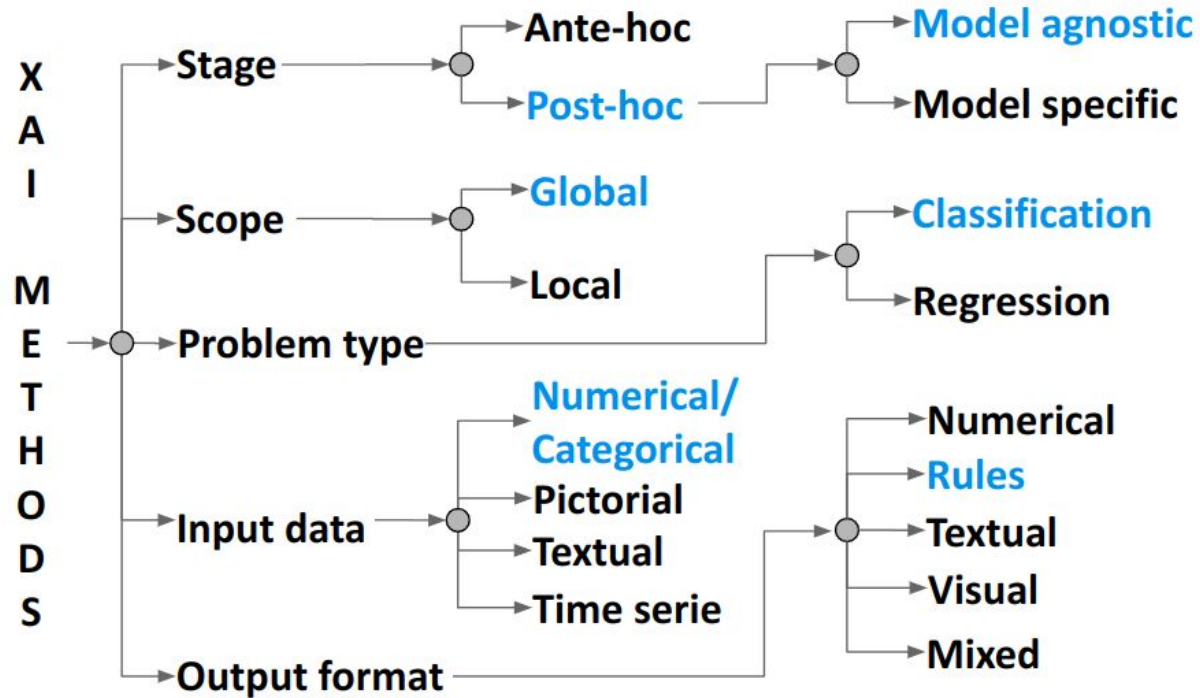
School of Computer Science, Technological University Dublin

ArgXAI workshop, Cardiff, 12<sup>th</sup> September 2022

## Presentation outline

- ◎ Literature review
- ◎ State-of-the-art, gap and motivation
- ◎ The experiment
- ◎ Objective evaluation
- ◎ Results
- ◎ Conclusions & future work

## Literature review



# Literature review

## **Rule-based Explanations**

can be extracted from  
trained models to  
mimic their  
inferential process.

## **Defeasible Argumentation**

supplies a  
formalisation for  
reasoning with a  
knowledge-base  
containing conflicting  
arguments.

## **Abstract Argumentation Theory**

organises arguments  
in a dialogical  
structure and  
provides semantics to  
resolve conflicts.

# State-of-the-art, gaps and motivations

## WEAKNESSES OF XAI METHODS

Generating list of rules just mimicking the inferential process of a model and lacking a richer reasoning process.

## ENHANCING EXPLAINABILITY

The existing XAI methods produce rulesets that might not be 1) easily understandable and 2) consistent with existing domain knowledge [2].



## NON-MONOTONIC REASONING

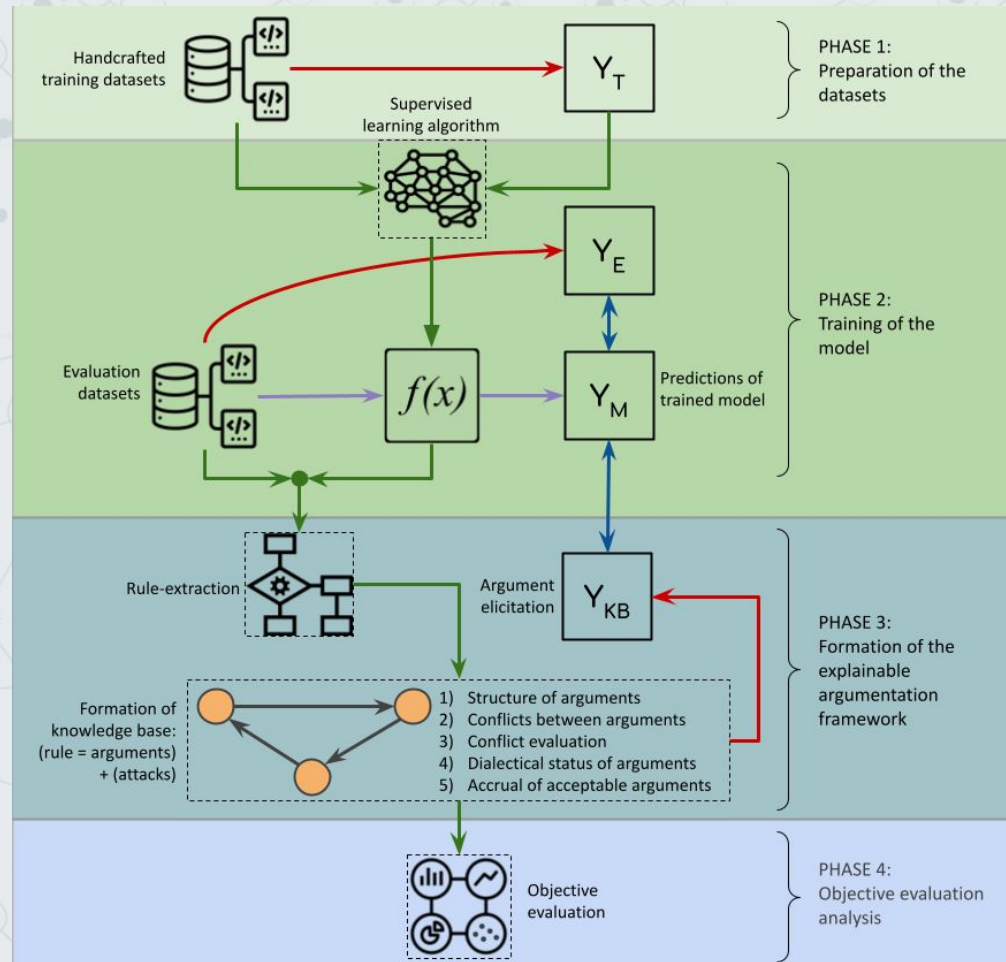
New data can lead to new rules potentially inconsistent with existing rules. No tools to handle these conflicts are provided [3].

## ARGUMENTATION THEORY (AT)

AT investigates formal approaches for defeasible reasoning processes. Minimal work exists on the integration of Machine Learning (ML) and AT [4].

# The experiment

The process to build the argument-based XAI method in a diagram.



# Phase 1: dataset preparation



## Curse of dimensionality

The datasets must have enough samples to train an accurate model.



## Machine generated data

Data contained in the datasets must be manually collected or built by domain experts.



## Multi-dimensional data

Each dataset must contain a mix of continuous and categorical independent features.



## Missing data

None of the selected datasets have missing data, so no action was required.



## Multicollinearity

A correlation analysis was carried out to detect and discard highly correlated features.



## Unbalanced data

The SMOTE algorithm was applied to the training datasets to up-sample the minority classes.

## Phase 1: dataset preparation

	Total number of instances	Number of input features	No. continuous (categorical) features	Number of output classes
Adult	<b>48,842</b>	<b>14</b>	<b>6 (8)</b>	<b>2</b>
Avila	<b>20,867</b>	<b>10</b>	<b>10 (0)</b>	<b>12</b>
Credit card default	<b>30,000</b>	<b>23</b>	<b>20 (3)</b>	<b>2</b>
Hotel bookings	<b>119,385</b>	<b>23</b>	<b>16 (7)</b>	<b>3</b>
Online shopper intention	<b>12,330</b>	<b>17</b>	<b>14 (3)</b>	<b>2</b>



## Phase 2: model training

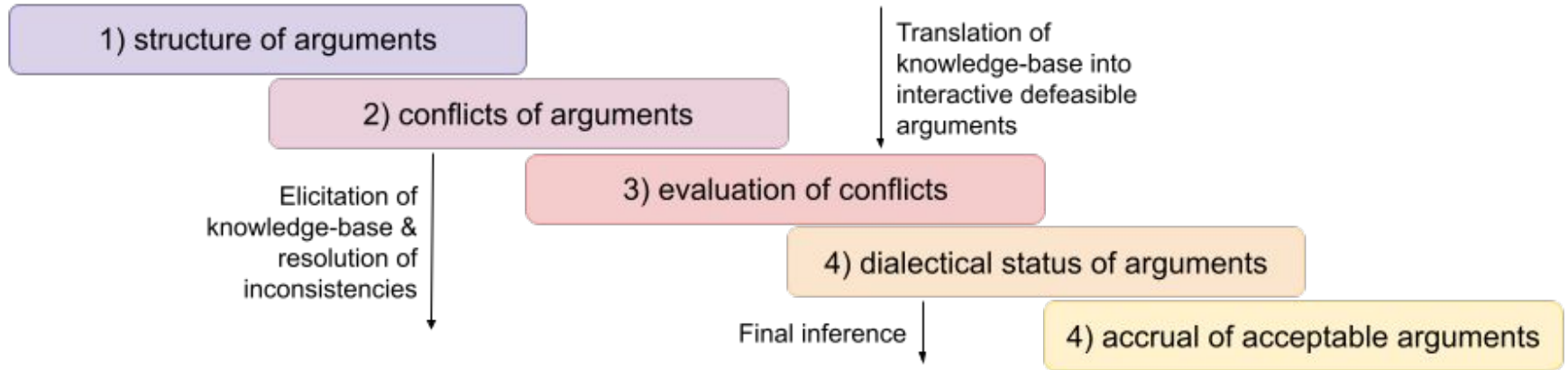
- ◎ Feed forward **neural networks**.
- ◎ **Grid search** to determine hyperparameters and reach the highest prediction accuracy.
- ◎ **Early stopping** of training process after 5 epochs without improvement in validation accuracy to avoid overfitting.



## Phase 2: model training

Model hyperparameters	Adult	Avila	Credit card default	Hotel bookings	Online shopper intention
Optimizer	<b>Adam</b>	<b>RMSprop</b>	<b>Adamax</b>	<b>SGD</b>	<b>SGD</b>
Weight initialisation	<b>Uniform</b>	<b>He-Unif.</b>	<b>Normal</b>	<b>Lecun-Unif.</b>	<b>He-Unif.</b>
Activation function	<b>Tanh</b>	<b>Relu</b>	<b>Softplus</b>	<b>Softplus</b>	<b>Softmax</b>
Dropout rate	<b>0%</b>	<b>0%</b>	<b>10%</b>	<b>0%</b>	<b>0%</b>
Batch size	<b>128</b>	<b>16</b>	<b>16</b>	<b>8</b>	<b>8</b>
Hidden neurons	<b>16</b>	<b>32</b>	<b>32</b>	<b>24</b>	<b>8</b>
Accuracy (validation)	<b>83% (79%)</b>	<b>98% (91%)</b>	<b>68% (79%)</b>	<b>65% (59%)</b>	<b>84% (87%)</b>

## Phase 3: argumentation framework



## Layer 1: structure of arguments

### 1 Variable pruning

Remove one variable at a time, & retrain the model to check if the prediction accuracy decrease.

### 2 Data grouping

Split the validation dataset into groups as per the output class predicted by the model.

### 3 Optics clustering

Divide groups into clusters by finding areas of the input space with a high density of sample [5].

## Layer 1: structure of arguments

- Each cluster is translated into a rule by determining the min & max values of its samples for each relevant variable.
- The rule's antecedents correspond to these ranges, and the conclusion is the predicted class of the cluster's samples.

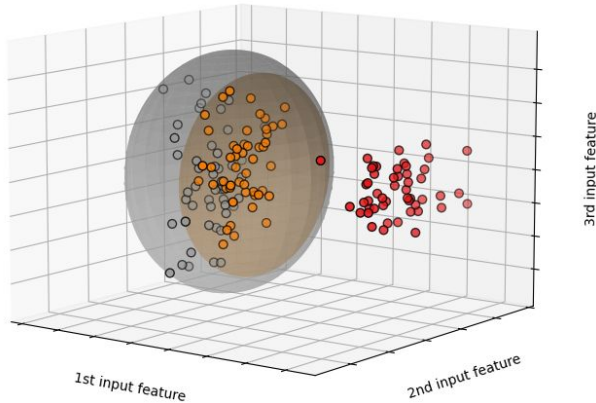


*IF  $m_1 \leq X_1 \leq M_1$  AND ... AND  $m_N \leq X_N \leq M_N$  THEN  $Class_X$*

## Layer 2: attacks between rules

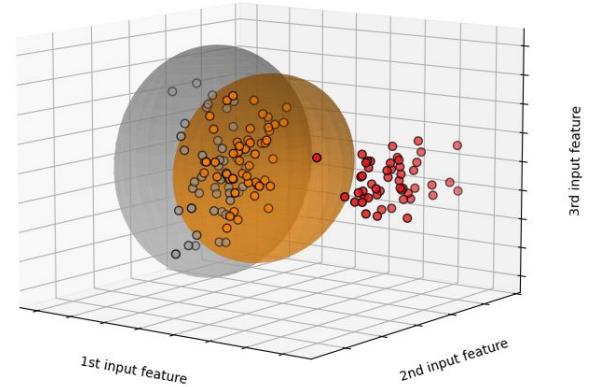
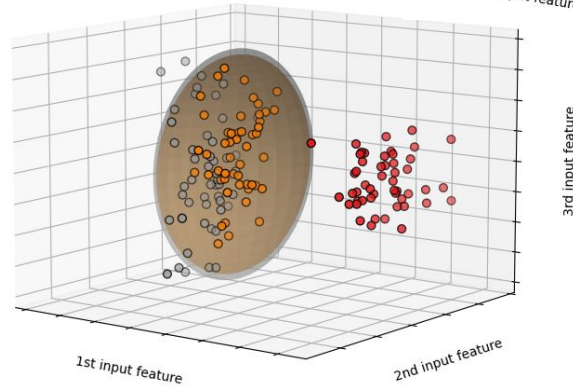
### UNDERCUTTING ATTACKS

An argument is attacked by arguing that there is a special case that does not allow its application

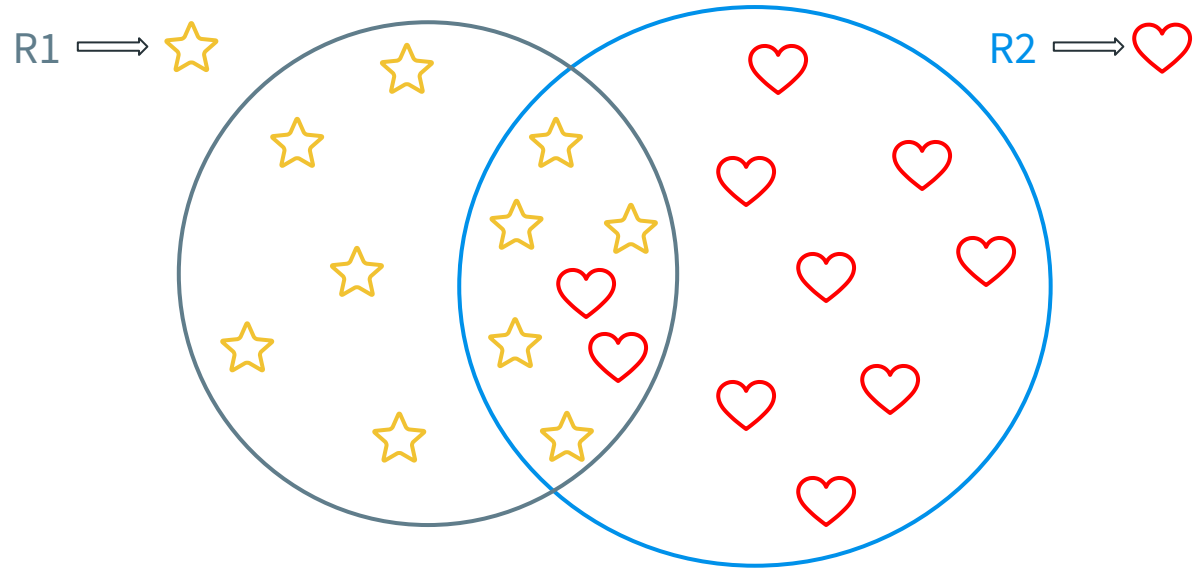


### REBUTTING ATTACKS

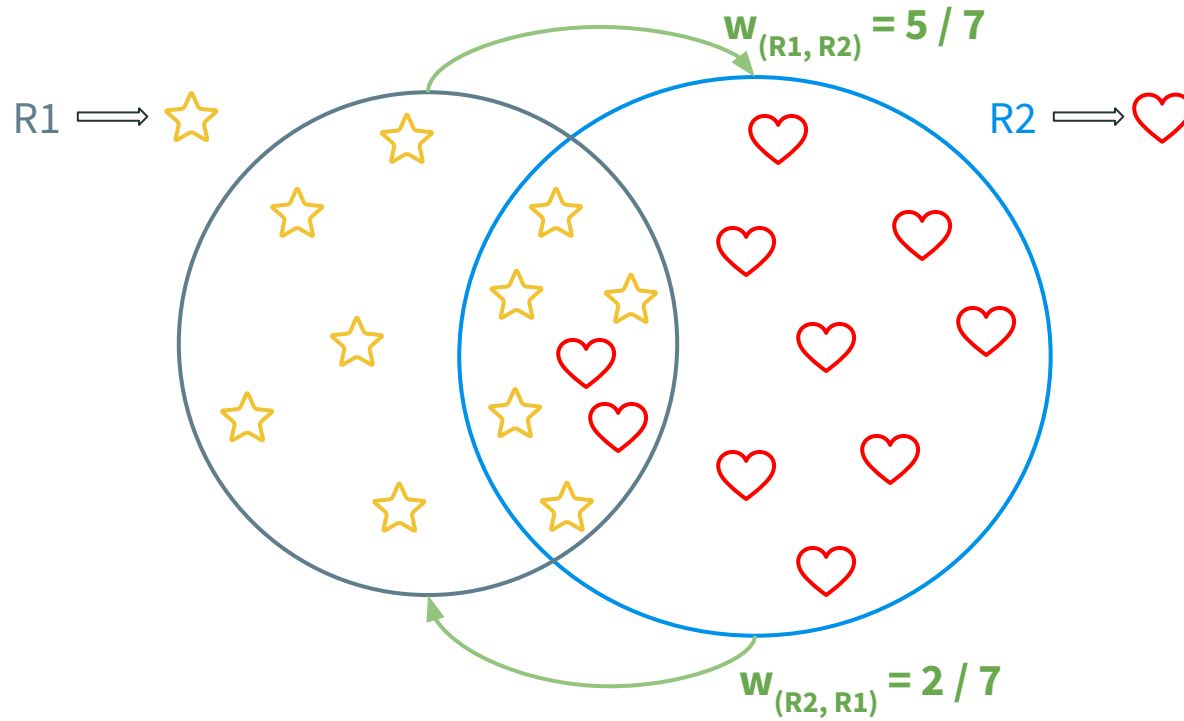
An argument negates the conclusion of another.



## Layer 3: evaluation of attacks

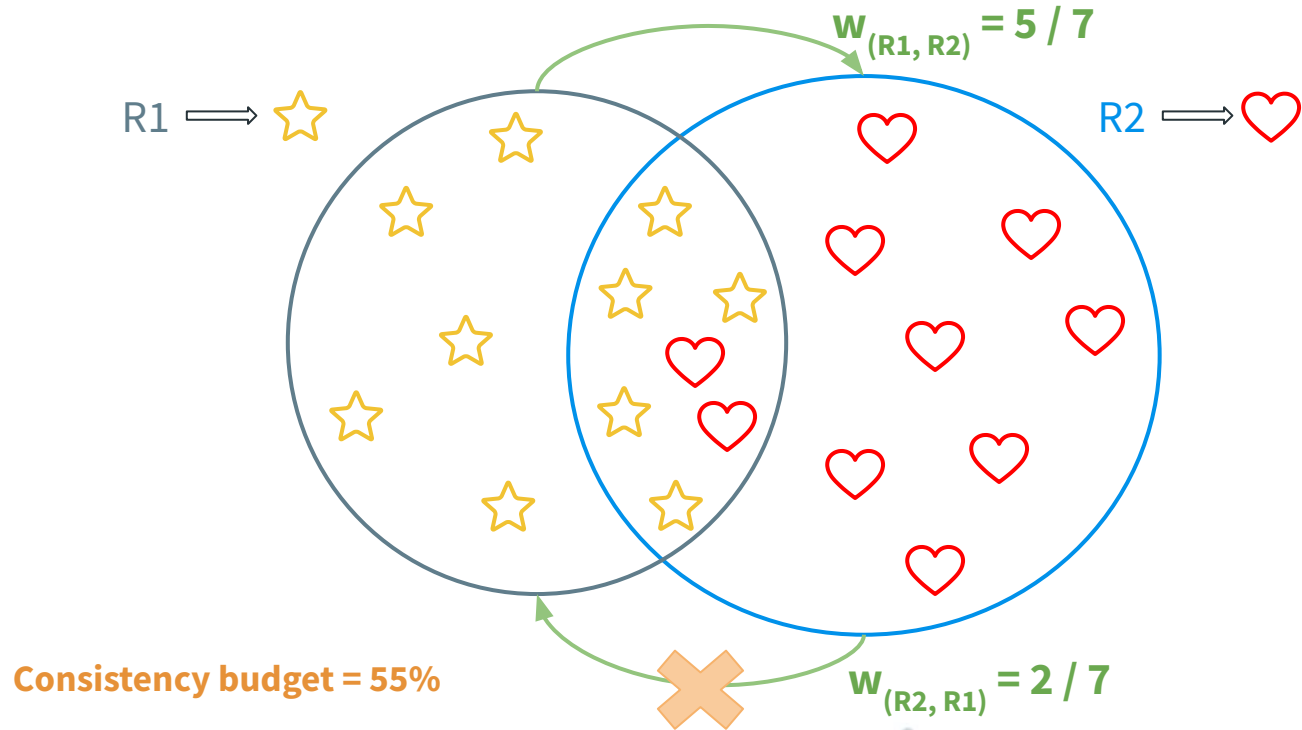


## Layer 3: evaluation of attacks





## Layer 3: evaluation of attacks



## The other layers

### © **Layer 4: definition of the dialectal status of arguments.**

**Ranking-base categoriser semantic** - A recursive function that orders a set of active arguments from the most to the list acceptable based on the number of attacks and the ranks of the attacking arguments.

### © **Layer 5: Accrual of acceptable arguments.**

The **highest-ranked argument** was selected and its conclusion was deemed the most rationale. If multiple arguments had the highest rank, they were split into groups according to their conclusion and the group with the highest cardinality was selected.

## Phase 4: Objective evaluation

**COMPLETENESS** ● ● ● % instances covered by the ruleset.

**CORRECTNESS** ● ● ● % instances correctly classified by rules.

**FIDELITY** ● ● ● % instances whose predictions of model and rules agree.

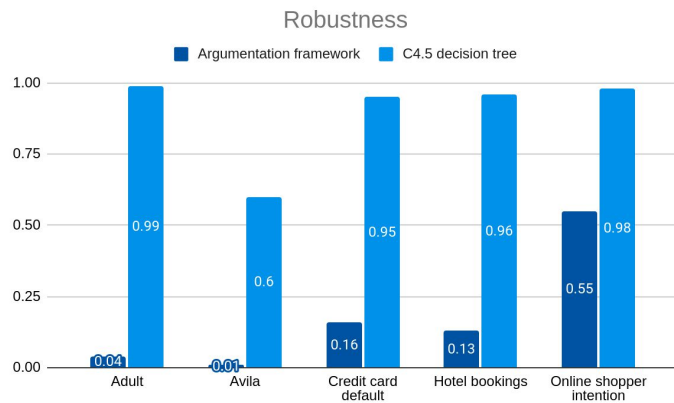
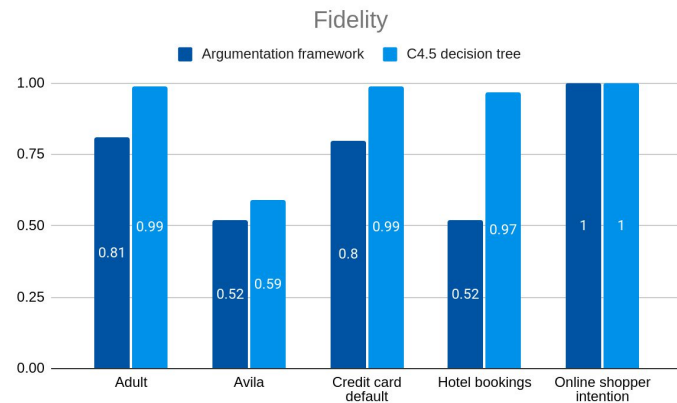
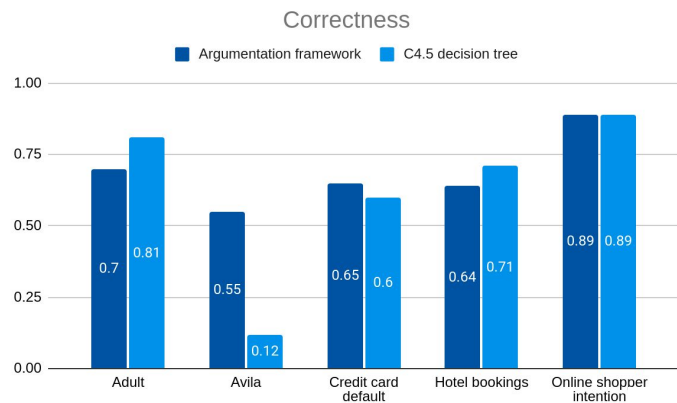
**ROBUSTNESS** ● ● ● % perturbed instances on which the predictions of model and rules remain unchanged.

**NUMBER OF RULES** ● ● ● The cardinality of the ruleset.

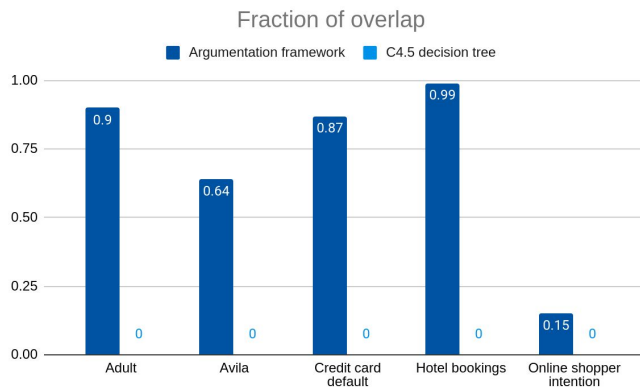
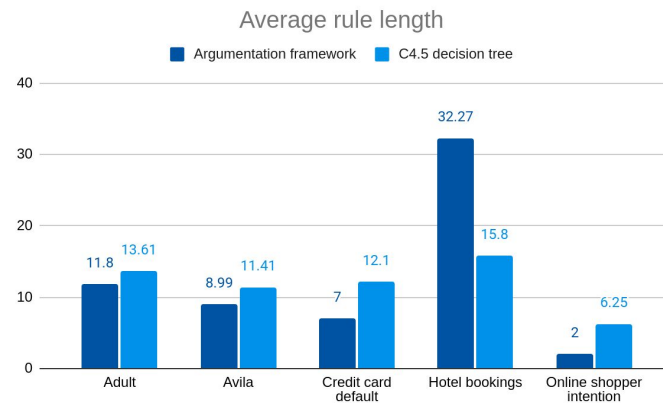
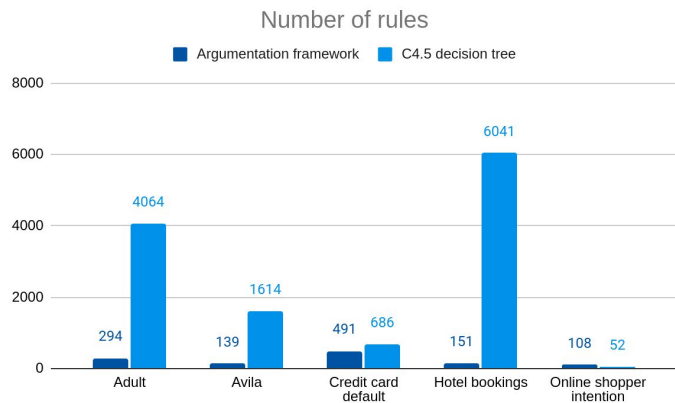
**AVG RULE LENGTH** ● ● ● The average number of antecedents of the rules.

**FRACTION OF CLASSES** ● ● ● % of output classes predicted by at least one rule.

**FRACTION OVERLAP** ● ● ● The extent of overlap between each pair of rules.



## Results of the objective evaluation



## Results of the objective evaluation

## Conclusions & future work

### **Objective evaluation**

Suggested the presence of a trade-off between ruleset's size and the other metrics: the bigger the ruleset, the higher the score.

### **Human evaluation**

Future work will include a human-centered study to be compared with the outcome of the objective metrics.

### **Formation of arguments**

Fine-tune the inconsistency budget to obtain the optimal set of attacks and arguments, and use semantics designed for weighted argumentation frameworks.



# Thanks!

## Any questions?

You can find me at:

[giulia.vilone@tudublin.ie](mailto:giulia.vilone@tudublin.ie)

[www.tudublin.ie](http://www.tudublin.ie)



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A decorative network diagram in the top-left corner, featuring a complex web of interconnected nodes and lines, with some nodes highlighted in blue.

1.

# Transition headline

Let's start with the first set of slides

A decorative graphic at the top of the slide featuring a network of interconnected nodes and lines, resembling a molecular or digital structure. A central node is highlighted with a blue double quote icon.

“


*Quotations are commonly printed  
as a **means of inspiration** and to  
invoke philosophical thoughts  
from the reader.*



## This is a slide title

- ◎ Here you have a list of items
- ◎ And some text
- ◎ But remember not to overload your slides with content

Your audience will listen to you or read the content, but won't do both.



# Big concept

Bring the attention of your audience over a key concept using icons or illustrations



## You can also split your content

### **White**

Is the color of milk and fresh snow, the color produced by the combination of all the colors of the visible spectrum.

### **Black**

Is the color of ebony and of outer space. It has been the symbolic color of elegance, solemnity and authority.

## In two or three columns

### **Yellow**

Is the color of gold, butter and ripe lemons. In the spectrum of visible light, yellow is found between green and orange.

### **Blue**

Is the colour of the clear sky and the deep sea. It is located between violet and green on the optical spectrum.

### **Red**

Is the color of blood, and because of this it has historically been associated with sacrifice, danger and courage.

## A picture is worth a thousand words

A complex idea can be conveyed with just a single still image, namely making it possible to absorb large amounts of data quickly.

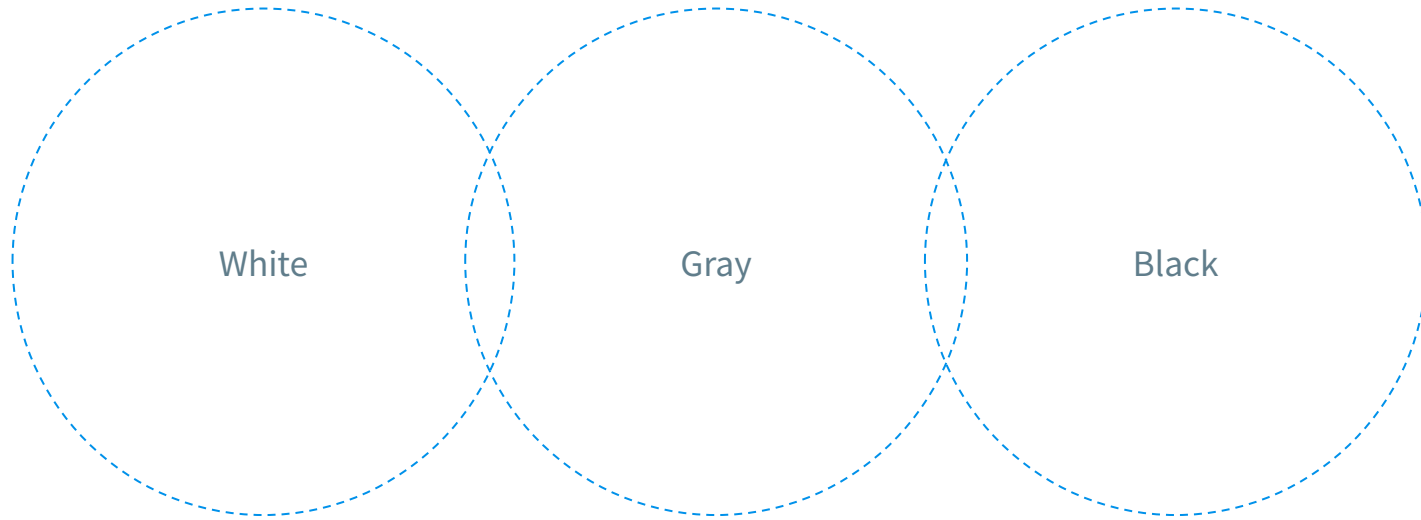




**Want big  
impact?**  
Use big image.



## Use charts to explain your ideas



## Or diagrams to explain complex ideas



### Example text.

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Nam venenatis nisi at nisl tempor, et luctus diam lobortis. Nulla sit amet metus consequat velit iaculis tempor.

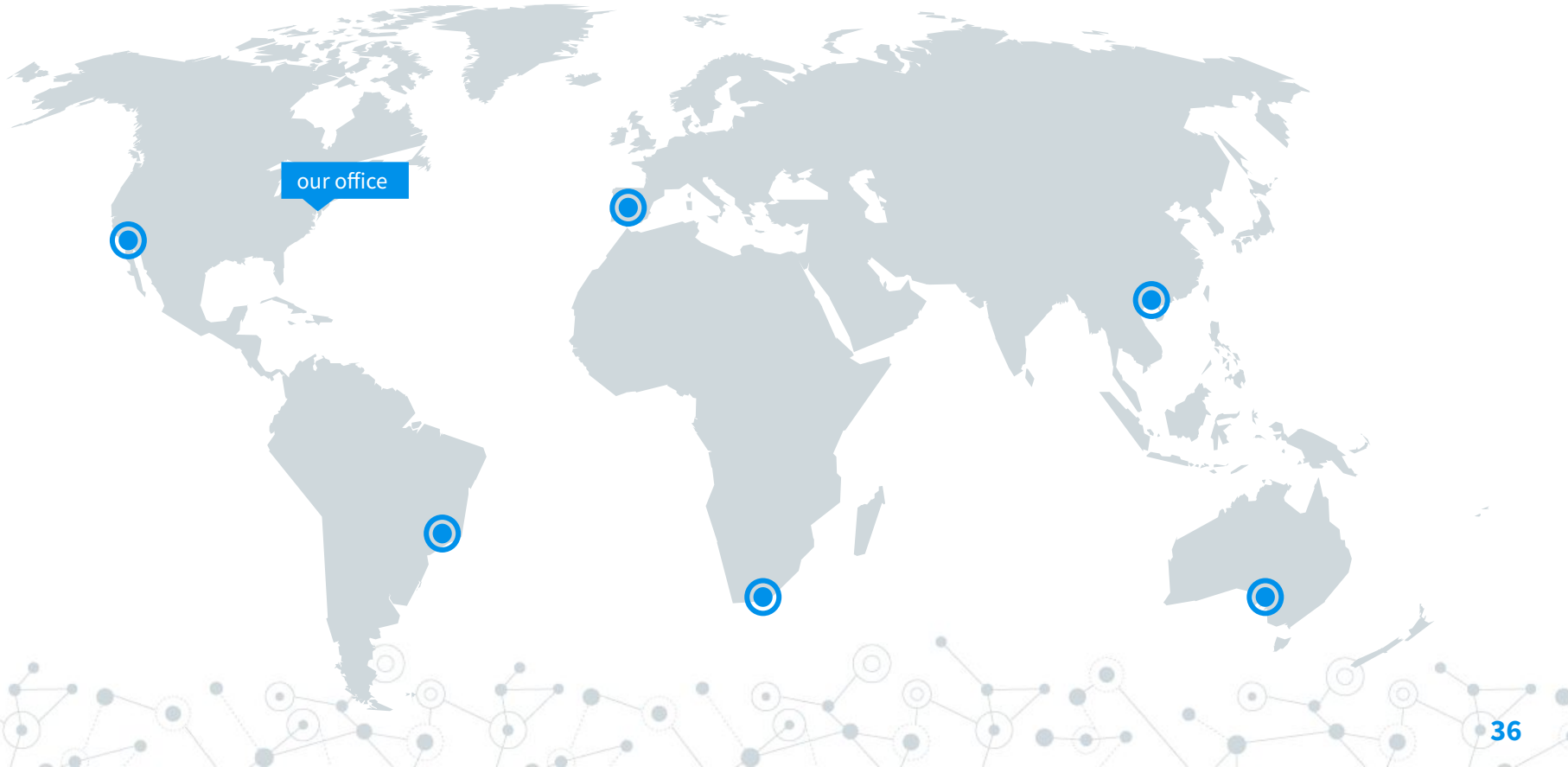
### Example text.

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Nam venenatis nisi at nisl tempor, et luctus diam lobortis. Nulla sit amet metus consequat velit iaculis tempor.

## And tables to compare data

	A	B	C
Yellow	<b>10</b>	<b>20</b>	<b>7</b>
Blue	<b>30</b>	<b>15</b>	<b>10</b>
Orange	<b>5</b>	<b>24</b>	<b>16</b>

# Maps



A background pattern of a network graph with nodes and edges, rendered in a light gray color.

# 89,526,124

Whoa! That's a big number, aren't you proud?

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- Titles: **Roboto Slab**
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A decorative network diagram in the top-left corner, featuring a complex web of interconnected nodes and lines, with some nodes highlighted in blue.

# 89,526,124\$

That's a lot of money

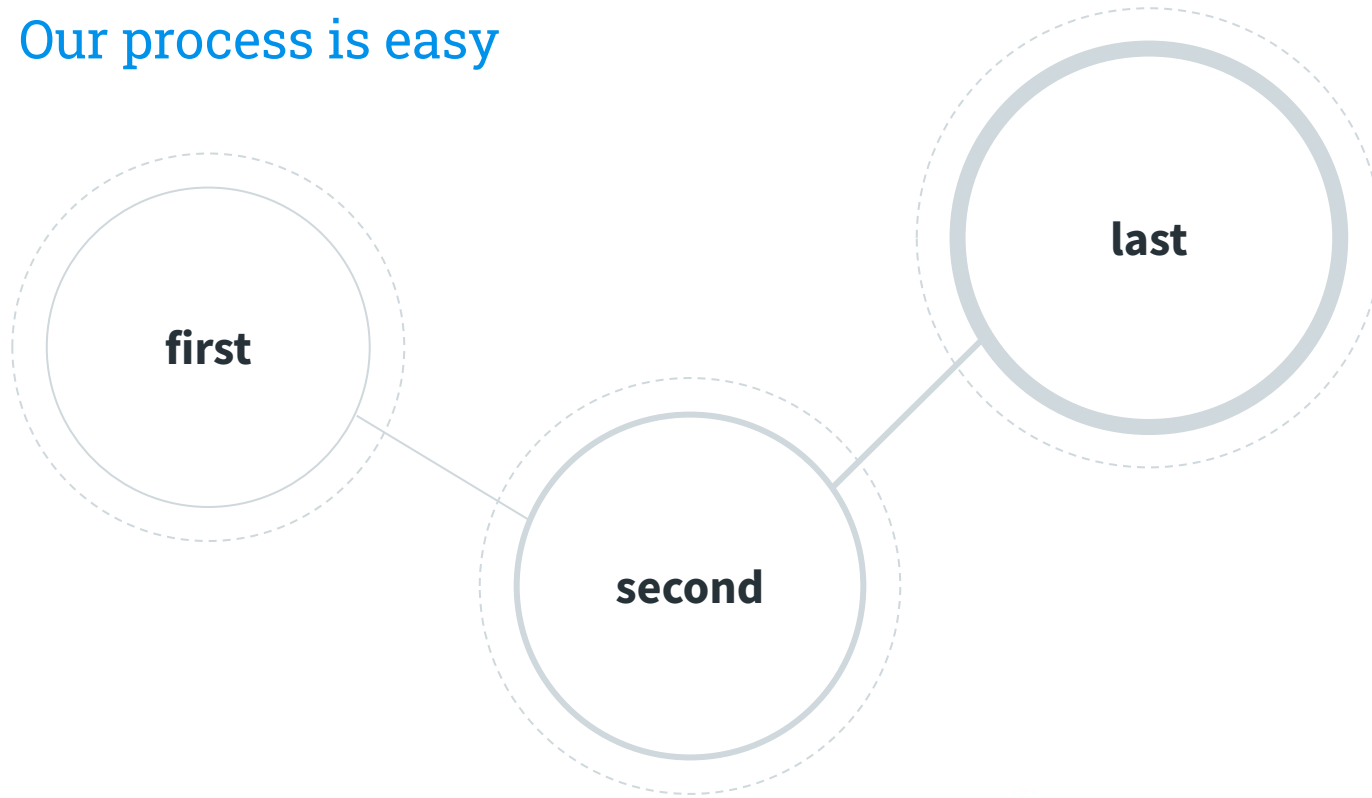
# 185,244 users

And a lot of users

# 100%

Total success!

Our process is easy





# Let's review some concepts



## Yellow

Is the color of gold, butter and ripe lemons. In the spectrum of visible light, yellow is found between green and orange.



## Yellow

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## Blue

Is the colour of the clear sky and the deep sea. It is located between violet and green on the optical spectrum.



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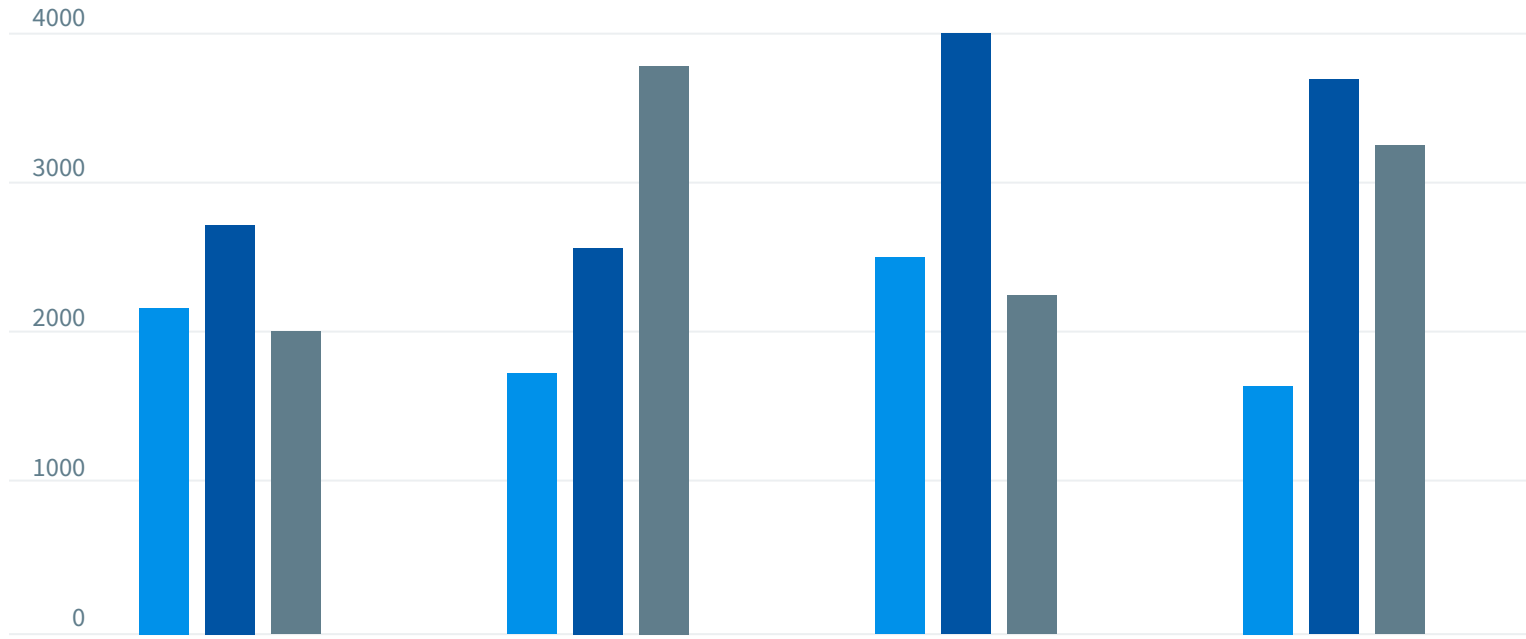
## Red

Is the color of blood, and because of this it has historically been associated with sacrifice, danger and courage.



## Red

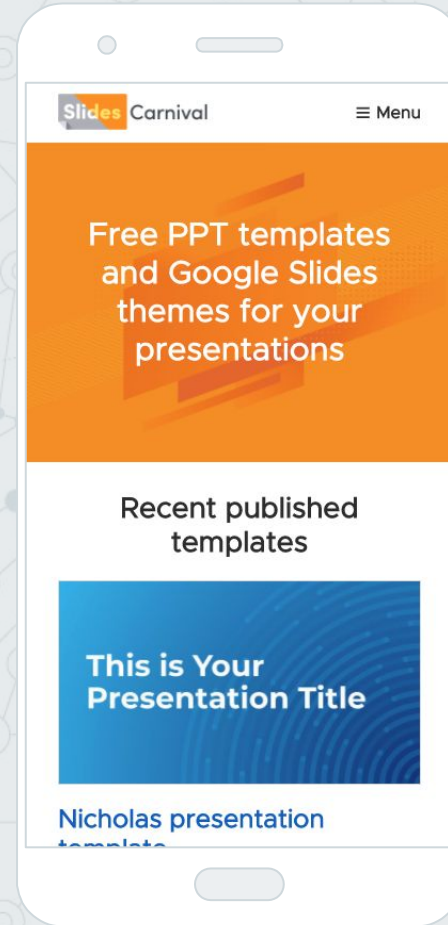
Is the color of blood, and because of this it has historically been associated with sacrifice, danger and courage.



You can insert graphs from Excel or Google Sheets

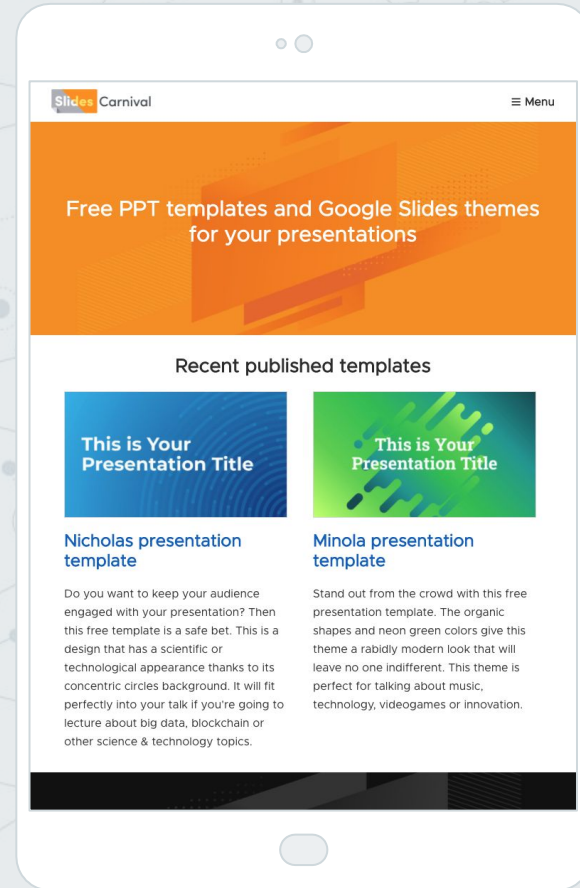
## Mobile project

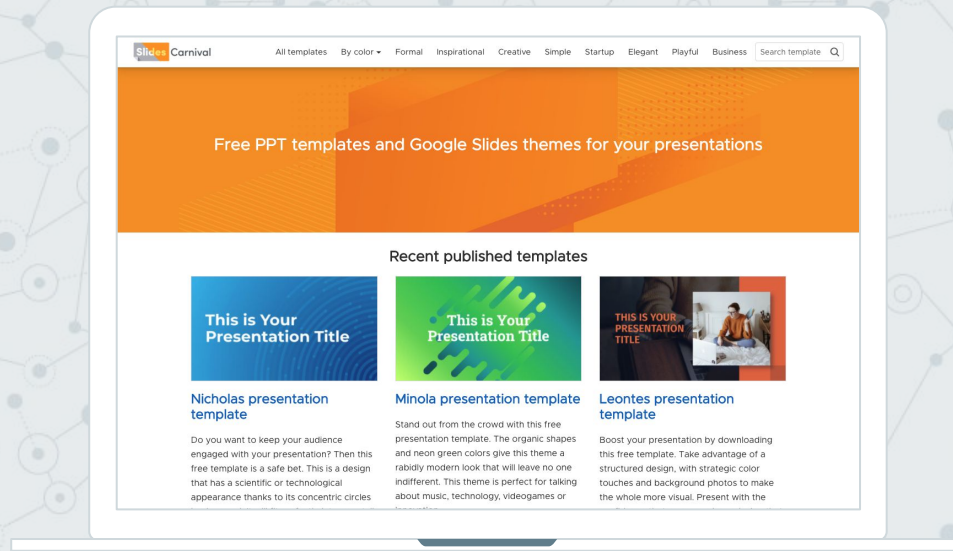
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# Tablet project

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## Desktop project

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## I am Jayden Smith

I am here because I love to  
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2.

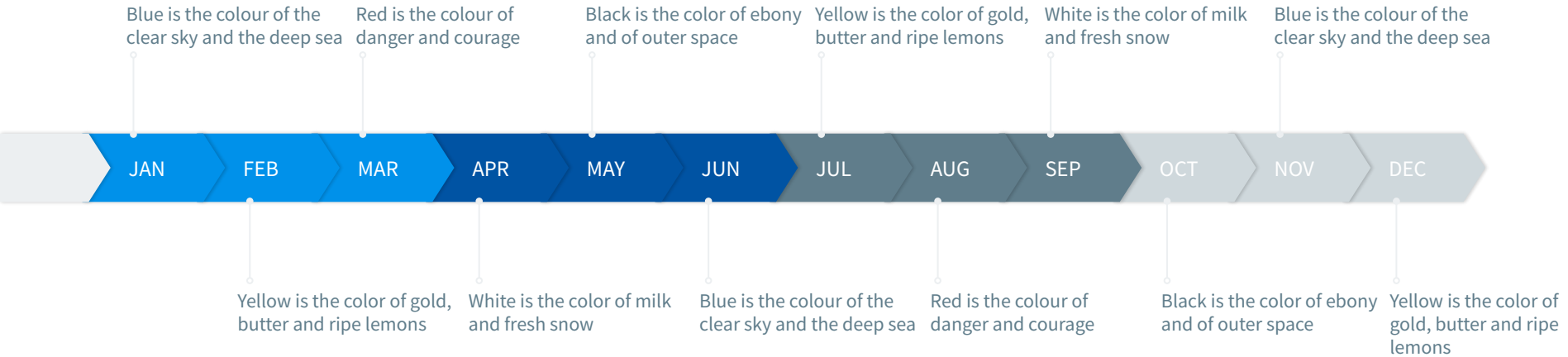
## **Extra Resources**

For Business Plans, Marketing Plans,  
Project Proposals, Lessons, etc

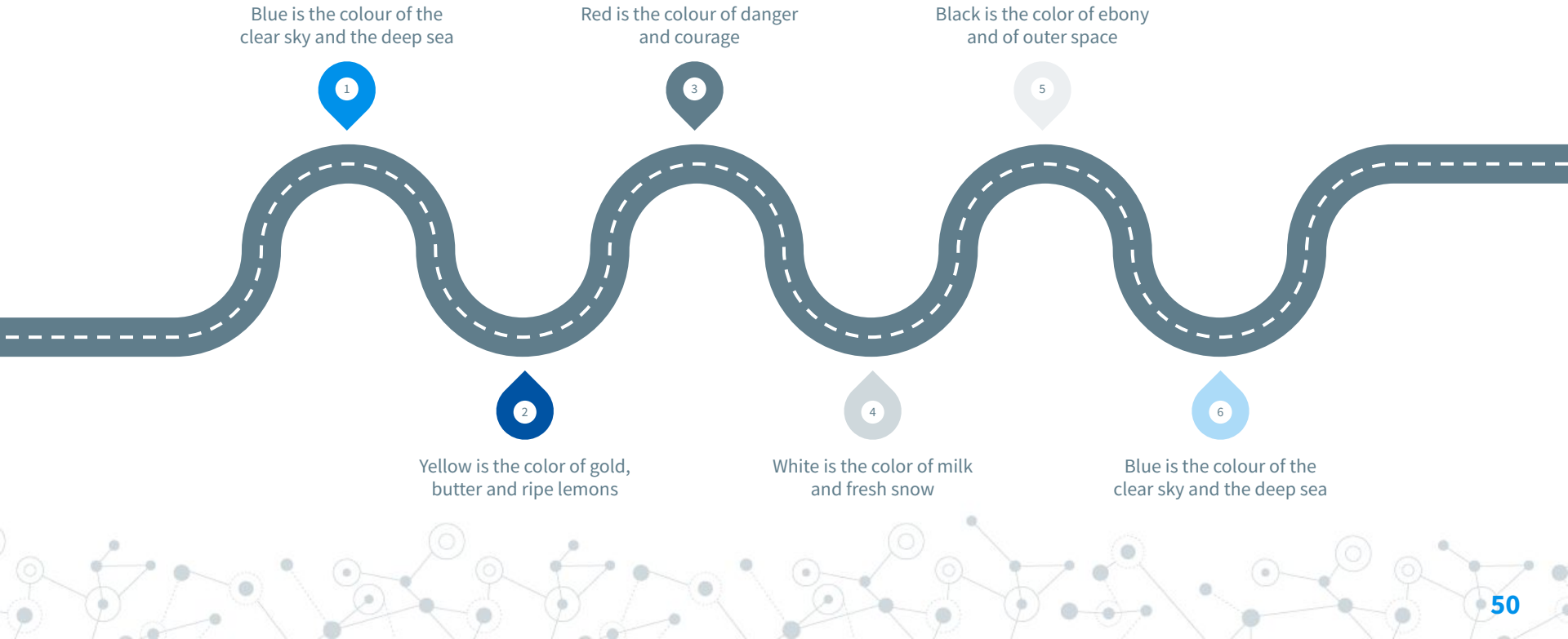
A decorative network diagram in the bottom-right corner, featuring a complex web of interconnected nodes and lines, with some nodes highlighted in blue and others in grey.



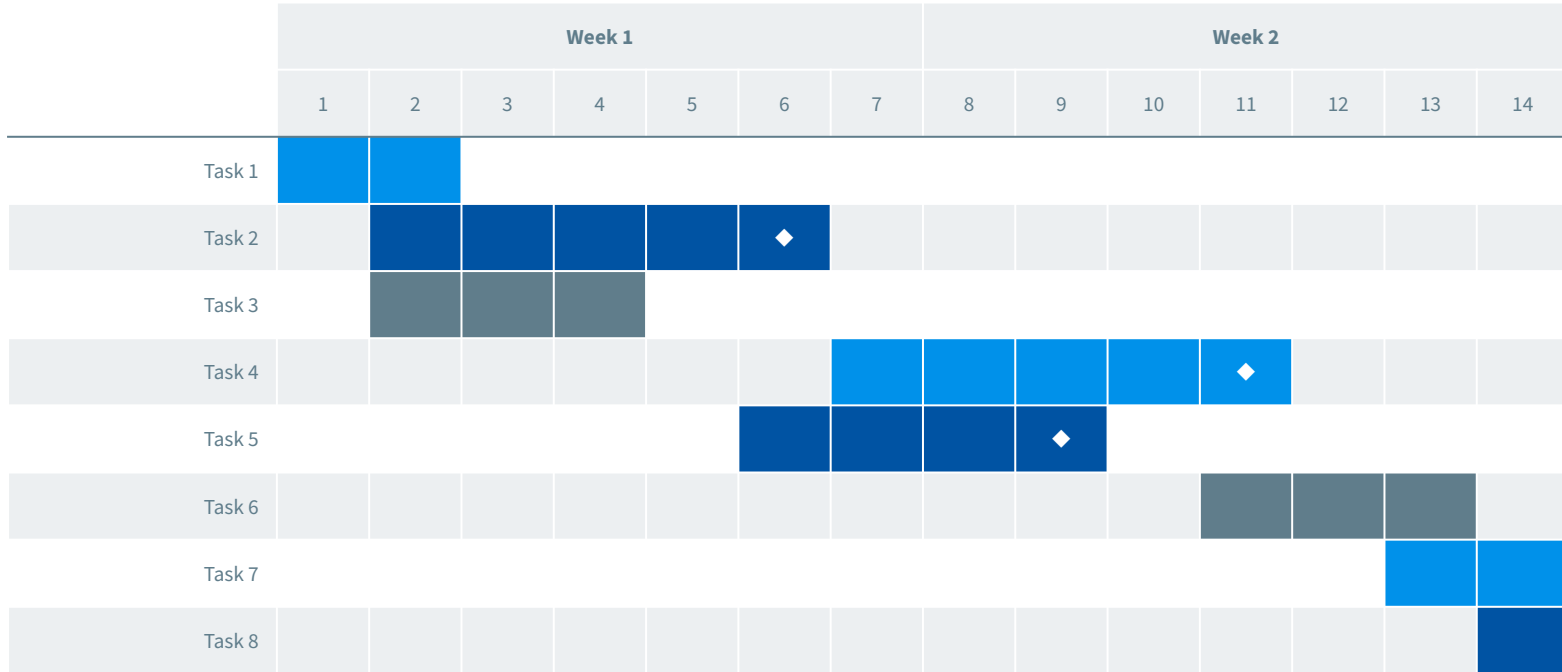
# Timeline



# Roadmap



# Gantt chart



# SWOT Analysis

## **STRENGTHS**

Blue is the colour of the clear sky and the deep sea

S

## **WEAKNESSES**

Yellow is the color of gold, butter and ripe lemons

W

O

Black is the color of ebony and of outer space










## **OPPORTUNITIES**

T

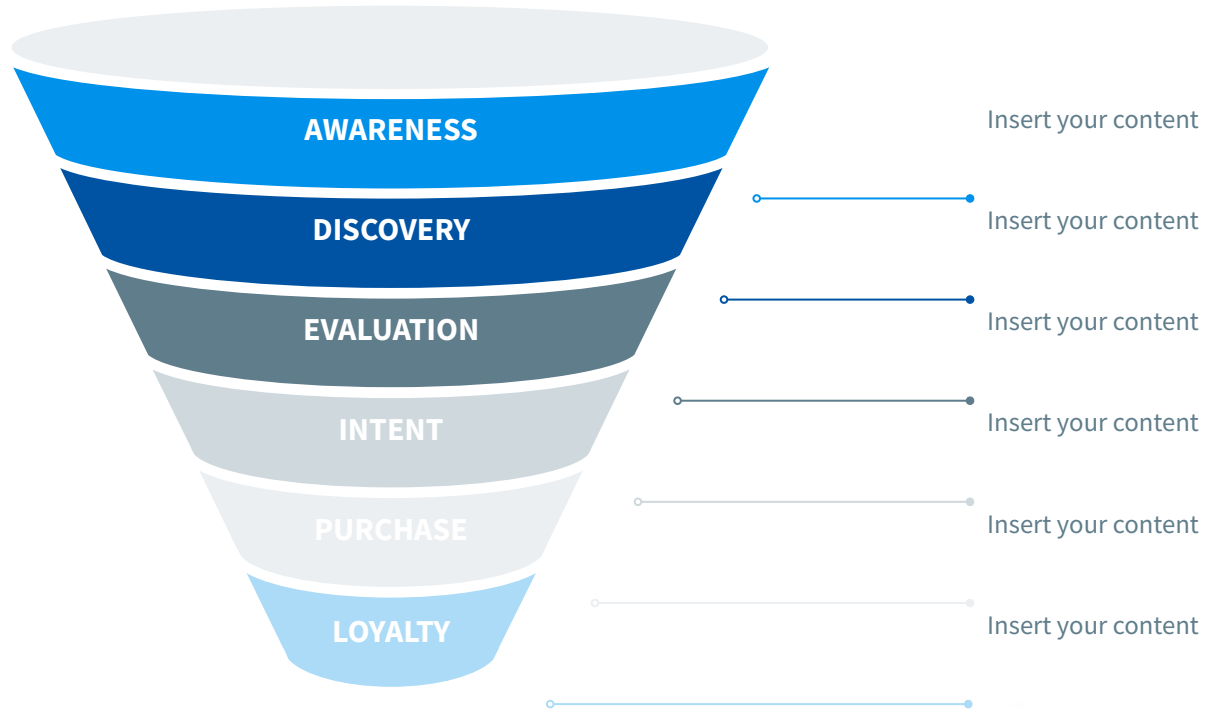
White is the color of milk and fresh snow

## **THREATS**

# Business Model Canvas

<b>Key Partners</b> Insert your content 	<b>Key Activities</b> Insert your content 	<b>Value Propositions</b> Insert your content 	<b>Customer Relationships</b> Insert your content 	<b>Customer Segments</b> Insert your content 
	<b>Key Resources</b> Insert your content 		<b>Channels</b> Insert your content 	
<b>Cost Structure</b> Insert your content 			<b>Revenue Streams</b> Insert your content 	

# Funnel



# Team Presentation



**Imani Jackson**

JOB TITLE

Blue is the colour of the clear  
sky and the deep sea



**Marcos Galán**

JOB TITLE

Blue is the colour of the clear  
sky and the deep sea



**Ixchel Valdía**

JOB TITLE

Blue is the colour of the clear  
sky and the deep sea

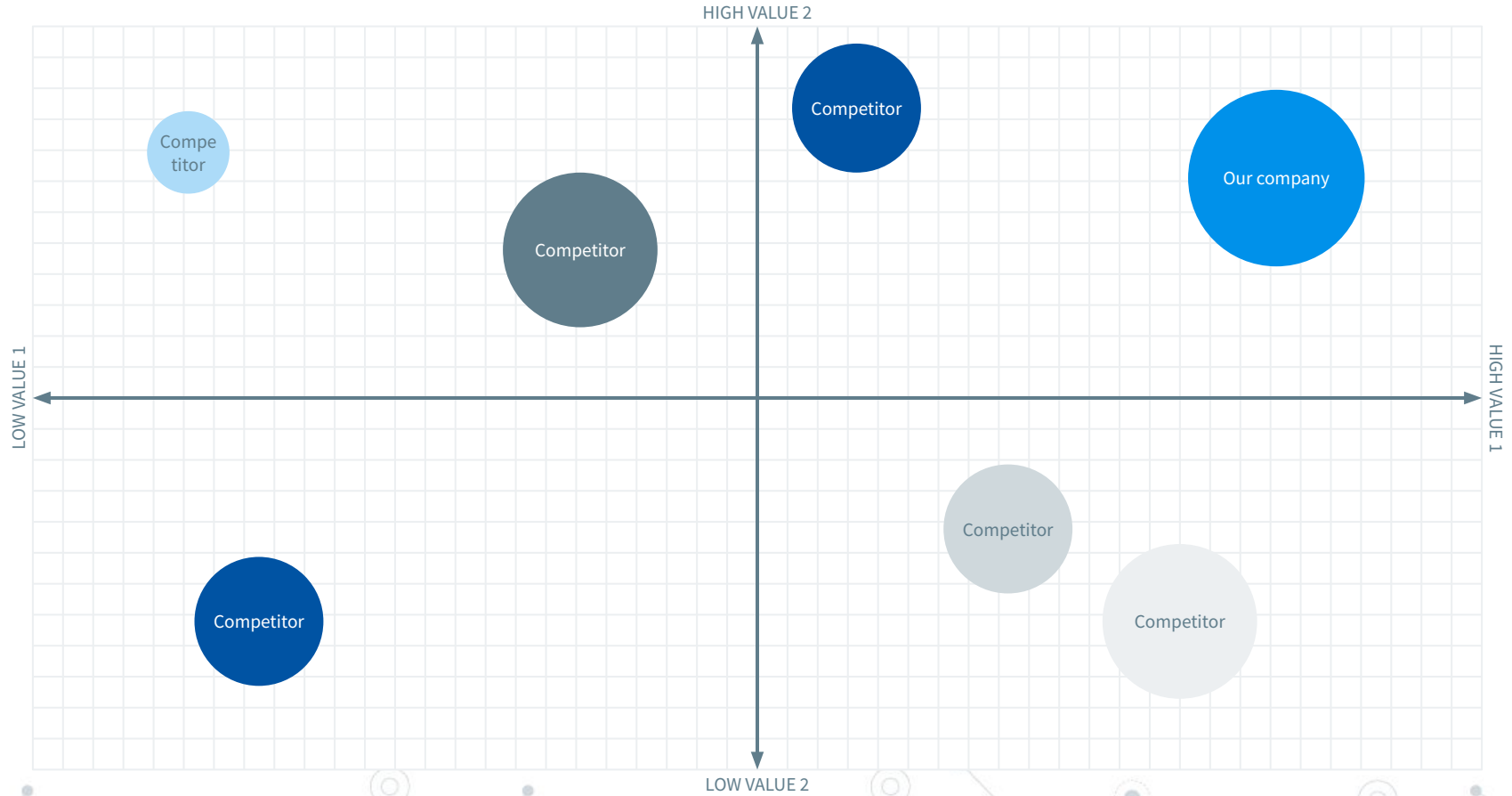


**Nils Årud**

JOB TITLE

Blue is the colour of the clear  
sky and the deep sea

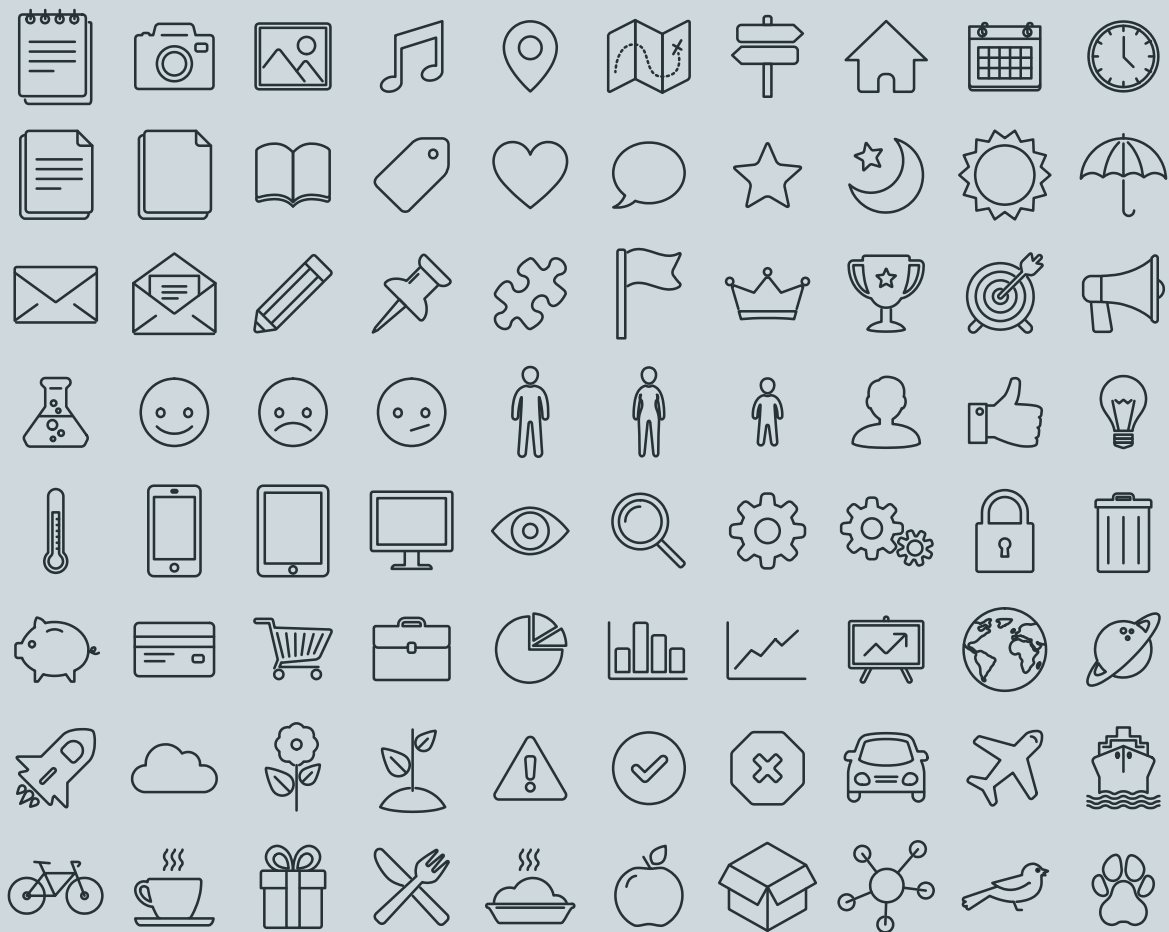
## Competitor Matrix





# Weekly Planner

	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
09:00 - 09:45	Task	Task	Task	Task	Task	Task	Task
10:00 - 10:45	Task	Task	Task	Task	Task	Task	Task
11:00 - 11:45	Task	Task	Task	Task	Task	Task	Task
12:00 - 13:15	✓ Free time	✓ Free time	✓ Free time	✓ Free time	✓ Free time	✓ Free time	✓ Free time
13:30 - 14:15	Task	Task	Task	Task	Task	Task	Task
14:30 - 15:15	Task	Task	Task	Task	Task	Task	Task
15:30 - 16:15	Task	Task	Task	Task	Task	Task	Task



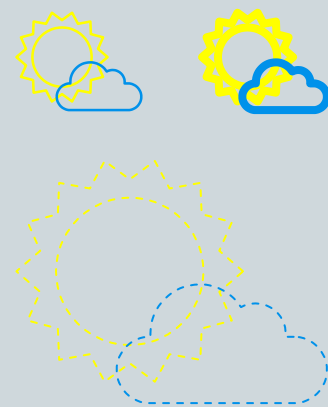
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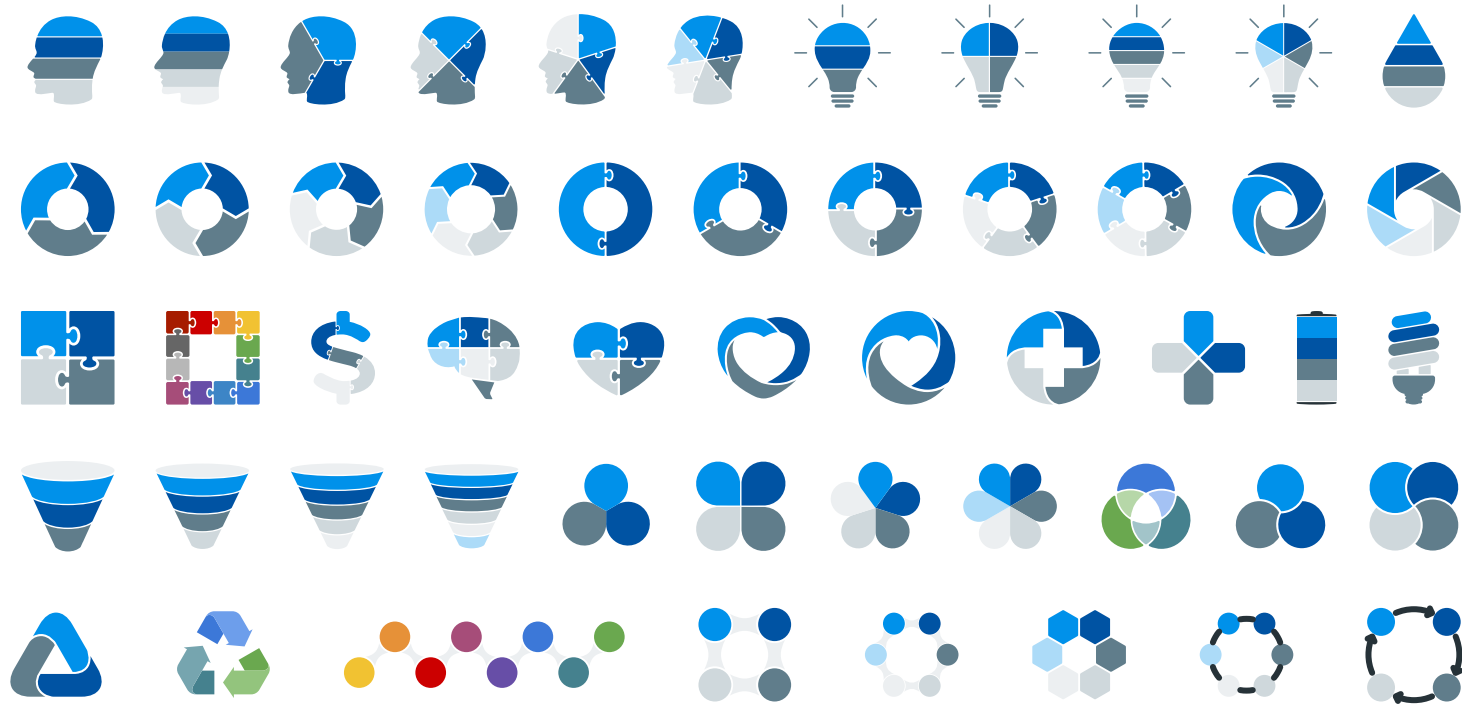
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- Change line color, width and style.

Isn't that nice? :)

Examples:



# Diagrams and infographics



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