# Knowledge Representation Using High-Level Non-monotonic Reasoning

Mauricio Osorio<sup>1</sup>, Juan Carlos Nieves<sup>1</sup>, Fernando Zacarias<sup>2</sup>, and Erika Saucedo<sup>3</sup>

<sup>1</sup> Universidad de las Americas. Departamento de Ingenieria en Sistemas Computacionales, Sta. Catarina Martir, Cholula 72820 Puebla, Mexico josorio@mail.udlap.mx <sup>2</sup> Benemerita Universidad Autonoma de Puebla, Facultad de ciencias de la computación, 75570 Puebla, Mexico. <sup>3</sup> Instituto Tecnológico y de Estudios Superiores de Monterrey, CEM. Departamento de Ingenieria y Ciencias Atizapan de Zaragoza, Edo. de Mexico. esaucedo@campus.cem.itesm.mx

**Abstract.** We introduce the new paradigm of High-Level Non-Monotonic reasoning (HLNM). This paradigm is the consolidation of our recent results on disjunctions, sets, explicit and implicit negation, and partialorder clauses. We show how these concepts are integrated in a natural way into the standard logic programming framework. For this purpose, we present several well known examples from the literature that motivate the need of this new paradigm. Finally, we define a declarative semantics for HLNM reasoning.

#### 1 Introduction

Knowledge based systems can be modeled adequately using non-monotonic logic, because it provides formal methods that enable intelligent systems to operate properly when they are faced with incomplete or changing information. There exist close interconnections between logic programming and non-monotonic reasoning. Actually, negation as failure, the interpretation of negation in logic programs as the failure to prove a ground atomic formula is a prothotypical example of non-monotonic behavior.

In this paper, we introduce the new paradigm High-Level Non-Monotonic reasoning, which supports the well-known formalism of definite logic programs by allowing for disjunctions in rule heads, general formulas in rule bodies, explicit and implicit negation, set notation  $\{H|T\}$  like Prolog's list notation, and function atoms f(t) = e or  $f(t) \ge e$  for formulating conditions on functions like  $intersect(\{X|_{-}\},\{X|_{-}\}) \geq \{X\}$ . Thus, High-Level Non-Monotonic reasoning integrates functional programming aspects, since functions may be defined by

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logical rules. One main contribution of our paper is the definition of a semantics integrating all the above mentioned concepts.

The interest in allowing two kinds of negations (default negation and explicit negation) is considered elsewhere in the literature, see [4,3,7,18]. It has been shown in [3] how they can be used to represent defeasible reasoning. In [28] we discuss an application to the Botanic filed. Based on the work in [18] we also claim that HLNM reasoning is better suited for legal knowledge representation than normal logic programming, due to the presence, in HLNM, of both explicit and default negation.

With respect to default negation, it is well known that the stable semantics and the well founded semantics (WFS) are the two most acceptable definitions of this form of negation in Logic Programming. Our use of default negation is different than in previous approaches. We allow the combination of the idea of negation as failure with reasoning by cases to obtain a powerful use of negation. Preliminary research on this direction is presented in [16,10].

Disjunctive logic programming is one of the most expressive declarative logic programming language [8]. It is more natural to use since it permits direct translation of disjunctive statements from natural language and from informal specifications. The additional expressive power of disjunctive logic programs significantly simplifies the problem of translation of non-monotonic formalisms into logic programs, and, consequently, facilitates using logic programming as an inference engine for non-monotonic reasoning. A novel contribution of our paper is a translation T from HLNM programs P to disjunctive logic programs (with default negation) P'.

Let us motivate the need for partial-order clauses. In a recent meeting organized by Krzystztof Apt (president of the Association of Logic Programming), whose aim was to get a clear picture of the current activities in Logic Programming and its role in the coming years, Gelfond pointed out: "We need to find elegant ways for accomplishing simple tasks, e.g. counting the numbers of elements in the database satisfying some property P. At the moment we have neither clear semantics nor efficient implementation of setof of other aggregates used for this type of problems" [9]. We propose partial-order clauses as an answer to this issue. The evolution of partial-order clauses is shown in the papers [25,26,23,22,15,29]. Related work on this topic is found in the following papers: [2,20,13,12,31,1,19,30]. A central concept in most of the works that include sets is one which we call *collect-all*. The idea is that a program needs only to define explicitly what are the members of the set and, by assuming a closed world, the set becomes totally defined. Moreover, all the just mentioned works, have defined a precise semantics for restricted classes of programs and they agree on the common subclasses. Also, a common assumption is to consider only finite sets. According to our knowledge, our approach generalizes all the work done so far about this topic.

HLNM programs also allow general formulas as the body of a clause. In [17,21] it is found motivation on this issue.

A preliminary research on this topic is presented in [29]. The current paper presents the full language and a more refined semantics.

Our paper is structured as follows: In section 2, we introduce our new paradigm High-Level Non-Monotonic reasoning, giving its grammatical definition and motivation. In section 3, we define the declarative semantics of our language. Finally, in our section we present our conclusions.

## 2 High-Level Non-monotonic Programs

We will now give a brief informal introduction to HLNM programs to give an intuition of the style of programming in this language and also to provide some context for discussing the semantic issues it raises. HLL clauses have the following form:

```
rule
               ::= head \leftarrow body. \mid head.
head
               ::= literal_s \mid literal_s ; head
literal_s
              ::= literal_e \mid POC
POC
               ::= Fu(list\_terms) \ge Fu(argument_f) \,|\, Fu(list\_terms) \ge term
body
              ::= literal_b \mid (body \ Ob \ body) \mid (Q \ Var \ body) \mid (\neg body)
literal_b
              := atom_f \mid literal_e
literal_e
              ::=-atom \mid atom
atom
              ::= Pr(list\_terms)
              := Fu(list\_terms) = term
atom_f
arguments_f ::= argument_f \mid argument_f, arguments_f
argument_f ::= f(arguments_f) \mid terms
               := term \mid term, list\_terms
list\_terms
               := Const \mid Var \mid CT(list\_terms)
```

where Ob, Q, Pr, Fu, CT, and Cons are nonterminal symbols defined as follows:  $Ob \in \{\land,;,\rightarrow\}$ ,  $Q \in \{\exists,\forall\}$ , Pr is a user-defined predicate symbol, Fu is any functional symbol, CT is any contructor symbol, and Cons is any constant symbol (i.e. a constructor of arity 0). We use ";" to denote the disjunctive logical connective. We also use "," to denote the conjunctive logical connective  $\land$ . We use - and - to denote explicit and default negation respectively. A HLNM program is a set of program rules (also called clauses).

We adopt four constructors: cons (of arity 2), nil (of arity 0), scons (of arity 2), and empty (of arity 0). The two constructors cons and nil are used to represent lists as usual in logic programming. Moreover, we prefer the notation [X|Y] instead of cons(X,Y) and [] instead of nil. To represent finite sets, we use the two constructors scons and empty. Again, we prefer to use the more suggestible notation  $\{x \setminus t\}$  and  $\phi$ . The notation  $\{x \setminus t\}$  refers to a set in which x is one element and t is the remainder of the set. We permit as syntactic sugar  $\{expr\}$  to stand for  $\{expr \setminus \phi\}$ , and  $\{e_1, e_2, \ldots, e_k\}$ , where all  $e_i$  are distinct, to stand for  $\{e_1 \setminus \{e_2 \setminus \ldots \{e_k \setminus \phi\}\}\}$ . To illustrate the set constructor, matching  $\{X \setminus T\}$  against a ground set  $\{a, b, c\}$  yields three different substitutions,  $\{X \leftarrow a, T \leftarrow \{b, c\}\}$ ,  $\{X \leftarrow b, T \leftarrow \{a, c\}\}$ , and  $\{X \leftarrow c, T \leftarrow \{a, b\}\}$ . One should contrast  $\{X \setminus T\}$  from  $\{X\} \cup T$ .

The 'logical' view of logic programming is that a program is a theory and computation is logical inference. In the classical approach to logical programming, the inference mechanism is faithful to *logical consequence* in classical first-order logic. This paper adopted the *canonical model* approach, where the inference mechanism is faithful to truth in the "intended" model of the program.

## 2.1 Negation in Logic Programming.

Default negation has proved to be quite useful in many domains and applications, however, this in not the unique form of negation which is needed for non-monotonic formalisms. Explicit negation has many characteristics which makes it a good candidate to represent non-monotonic reasoning. It can occur in the head of a clause and so it allows us to conclude negatively. Explicit Negation treats negative information and positive information in a simetric form, that is to say, it does not give any kind of preference. However, it allows the representation of exceptions and preference rules. We show how can we represent defeasible reasoning with default and explicit negation. In particular, we discuss the representation of exceptions and preference rules. These ideas are not ours, but taken from [3]. The notion of exception may be expressed in three different ways:

**Exceptions to predicates.** We express that the rule  $angiosperm(X) \leftarrow tree(X)$  applies whenever possible but can be defeated by exceptions using the rule:

```
angiosperm(X) \leftarrow tree(X), \neg ab(X)
```

If there is a tree a which is known that is not an angiosperm we may express it by ¬angiosperm(a). In this case ¬angiosperm(a) establishes an exception to the conclusion predicate of the defeasible rule.

**Exceptions to rules.** A different way to express that a given element is some exception is to say that a given rule must not be applicable to the element. If, for instance, element **a** is an exception to the rule *trees are angiosperms*, we express it as **ab(a)**. In general, we may want to express that a given **X** is abnormal under certain conditions. This is the case where we want to express *Pines are abnormal* to the rule about **angiosperms** given above. We write this as follows:

```
ab(X) \leftarrow pine(X)
```

**Exceptions to exceptions.** In general we may extend the notion of exceptioned rules to exception rules themselves, i.e. exception rules may be defeasibles. This will allow us to express an exception to an exception rule.

**Preference rules.** We may express now preference between two rules, stating that if one rule may be used, that constitutes an exception to the use of the other rule:

```
angiosperm(X) \leftarrow tree(X), \neg ab<sub>1</sub>(X)
- angiosperm(X) \leftarrow pine(X), \neg ab<sub>2</sub>(X)
tree(X) \leftarrow pine(X)
```

In some cases we want to apply the most specific information; above, there should be (since a pine is a specific kind of tree) an explicit preference of the rule about non-angiosperm pines over the rule about angiosperm trees.

```
ab_1(X) \leftarrow pino(X), \neg ab_2(X)
```

**Botanic Field.** We have studied a small fragment part of the Botanic field (the Mycota Kingdom), where we found that is natural to use exceptions and preference rules. Our information was taken from the *Britanic Encyclopedia OnLine* and our results are presented in [28].

## 2.2 Disjunctions

Disjunctive reasoning is more expressive and natural to use since it permits direct translation of disjunctive statements from natural language and from informal specifications. The additional expressive power of disjunctive logic programs significantly simplifies the problem of it translation of non-monotonic formalisms into logic programs, and consequently, facilitates using logic programming as an inference engine for non-monotonic reasoning.

The following is a well known example that can not be handled adequately by Circumscription.

```
Example 1 (Poole's Broken arm, [7]).

left-use(X) \leftarrow \neg ab(left,X).

ab(left,X) \leftarrow left-brok(X).

right-use(X) \leftarrow \neg ab(right,X).

ab(right,X) \leftarrow right-brok(X).

left-brok(fred); right-brok(fred) \leftarrow.

make-cheque(X) \leftarrow left-use(X).

make-cheque(X) \leftarrow right-use(X).

disabled(X) \leftarrow left-brok(X), right-brok(X).
```

The well known semantics D-WFS and DSTABLE derive that Fred is not disabled (see [7]). We get the same answer in our approach. Moreover, DSTABLE (but not D-WFS) derives that Fred can make out a cheque. We get also this result in our approach.

### 2.3 Partial-Order Clauses

As we have said, Gelfond pointed out: "We need to find elegant ways for accomplishing simple tasks, e.g. counting the numbers of elements in the database satisfying some property P. At the moment we have neither clear semantics nor efficient implementation of *setof* of other aggregates used for this type of problems" [9]. We propose to use partial-order clauses to solve this problem. The first author of this paper has done an extensive research on this topic in [25,26,23,22,15,29] and we have strong evidence to make us think that our results in this paper will help to obtain a final answer.

**Modeling Setof.** The original motivation of partial-order clauses was to include a kind of setof operator. There are two mayor problems with the setof operator in PROLOG. First, it has no formal definition and second, it is very weak. See [23].

Example 2. Consider that we want to obtain the set of all students that are taking both the cs101 class and cs202 class. We write a clause in Prolog that do the given function as follow:

```
\begin{split} & \texttt{both}(\texttt{X}) \ :- \ \texttt{setof}(\texttt{W}, (\texttt{cs101}(\texttt{W}), \texttt{cs202}(\texttt{W})), \texttt{X}) \\ & \texttt{while in our paragigm this represented by:} \\ & \texttt{both}(\texttt{X}) \ \geq \ \{\texttt{X}\} \leftarrow \texttt{cs101}(\texttt{W}), \texttt{cs202}(\texttt{W}) \end{split}
```

We note that our paradigm is not only a change of notation, but we define a more powerful notion of 'setof' than PROLOG. The reader is invited to see an example of this nature in [29].

**General Domains.** We generalize our approach of partial-orders to different domains and not just sets. The following example illustrates this idea.

```
 \begin{array}{lll} \textit{Example 3 (Min-Max Program1 [13])}. \\ & \texttt{p(X)} \leq \texttt{X1} & \leftarrow \texttt{final(X,X1)}. \\ & \texttt{p(X)} \leq \texttt{X2} & \leftarrow \texttt{edge2(X,Y), q(Y)=X2}. \\ & \texttt{q(X)} \geq \texttt{X3} & \leftarrow \texttt{final(X,X3)}. \\ & \texttt{q(X)} \geq \texttt{X4} & \leftarrow \texttt{edge1(X,Y), p(Y)=X4}. \end{array}
```

This program models a two-player game defined on a bipartite graph represented by predicates edge1 and edge2. The function p obtains the minimum value, while q obtains the maximum value. The program is considered non-monotonic due to the interaction of  $\geq$  with  $\leq$ . Consider the following extensional database:

```
final(d,0). edge1(a,b). edge2(b,d).
final(e,1). edge1(a,c). edge2(b,e).
final(f,-1). edge2(c,f).
final(g,0). edge2(c,g).
```

In our approach we obtain the intended model, where p(a)=0. Our operational semantics with pruning (introduced in [27]) behaves very much as the well known alpha-beta procedure. Other interesting examples about partial-order are presented in [23].

It is important to observe, as will see in the following section, that we have a formal definition of the semantics of partial-order clauses.

## 3 Declarative Semantics

We first present our definition of the declarative semantics for propositional disjunctive programs. Then we explain how we generalize our results to the general framework of HLNM programs.

## 3.1 Declarative Semantics of Propositional Disjunctive Programs

A signature is any finite set. An atom is any element of a signature. A positive literal is an atom. A negative literal is a formula of the form  $\neg a$ , where a is an atom. A literal is a positive literal or a negative literal. Two literals are of the same sign if both are positive or both are negative. Propositional disjunctive clauses are of the form:

$$A_1; \ldots; A_n \leftarrow L_1, \ldots L_m$$

where  $n \geq 1, m \geq 0$ , every  $A_i$  is an atom and every  $L_i$  is a literal. When m = 0, we say that the clause is a fact. When n = 1 the clause is called normal. A normal program consists of just normal clauses. Traditional logic programming is based on this kind of programs. When m = 0 and n = 1 the clause is called a normal fact. We also would like to denote a disjunctive clause by  $C := \mathcal{A} \leftarrow \mathcal{B}^+, \neg \mathcal{B}^-,$  where  $\mathcal{A}$  denotes the set of atoms in the head of the clause (namely  $\{A_1, \ldots A_n\}$ ;),  $\mathcal{B}^+$  denotes the set of positive atoms in the body, and  $\mathcal{B}^-$  denotes the set of negative atoms in the body. A pure disjunction a disjunction of literals of the same sign.

The stable semantics and the well founded semantics are the two most well known definitions of semantics for Logic Programs. Our proposed semantics is different than in previous approaches and more powerful even for normal programs. We allow the combination of the idea of negation as failure with reasoning by cases to obtain a powerful use of negation.

We now provide our formal definition of our declarative semantics.

We start with some definitions. Given a program P, we define  $HEAD(P) := \bigcup_{\mathcal{A} \leftarrow \mathcal{B}^+, \neg \mathcal{B}^- \in P} \mathcal{A}$ . It will be useful to map a disjunctive program to a normal program. Given a clause  $C := \mathcal{A} \leftarrow \mathcal{B}^+, \neg \mathcal{B}^-$ , we write dis-nor(C) to denote the set of normal clauses:

$$\{a \leftarrow \mathcal{B}^+, \neg (\mathcal{B}^- \cup (\mathcal{A} \setminus \{a\}) | a \in \mathcal{A})\}.$$

We extend this definition to programs as follows. If P is a program, let dis-nor(P) denotes the normal program:  $\bigcup_{C \in P} dis - nor(C)$ .

We first discuss the notion of a supported model for a disjunctive program. It generalizes the notion of a supported model for normal programs (which in turns is equivalent to a model of the Clark's completion of a program).

## Definition 1 (Supported model).

Let P be a program. A supported model M of P is model of dis - nor(P) such that for every atom a that occurs in P and is true in M, there exists a clause C in dis - nor(P) such that a is the head of C and the body of C is true in M.

We now discuss the notion of a D-WFS partial model. The key concept of this approach is the idea of a transformation rule. We adopt the transformation rules introduced in [5,7], which are: RED<sup>+</sup>, RED<sup>-</sup>, GPPE, TAUT, SUB. We define  $\mathcal{CS}_1$  to be the rewriting system which contains the just mentioned rules. It is known that this system is confluent and terminating, see [6].

**Definition 2 (D-WFS partial model).** Let P be a program and P' its normal form, i.e. P' is the program obtained after reducing P by  $\mathcal{CS}_1$ . The D-WFS

partial model of P is defined as:  $\{a \mid a \text{ is a normal fact of } P' \} \cup \{\neg a \mid a \text{ is an atom in the language of } P \text{ that does not occurs in } P' \}.$ 

We can now present the main definition of this section:

**Definition 3.** Let P be a program. We define a DWFS-DCOMP model as a two-valued minimal supported model that extends D-WFS(P). Any such extension is a model that agrees with the true/false assignments given by D-WFS(P). The scenario DWFS-DCOMP semantics of P is the set of DWFS-DCOMP models of P. The sceptical DWFS-DCOMP semantics for P is the set of pure disjunctions that are true in every DWFS-DCOMP model of P. If no such model exists then we say that the program is inconsistent.

In the above definition we stress the importance of considering minimal models ([21]), since they are critical in logic programming. In this paper our concern is only in the sceptical semantics.

## 3.2 Moving to Predicates and Adding the Set Constructor

We will work with Herbrand interpretations, where the Herbrand Universe of a program P consists only of ground terms, and is referred to as  $U_P$ . The Herbrand Base  $B_P$  of a program P consists of ground atoms as usual.

The generalization of the function dis-nor to predicate logic is straightforward. Given this , we need a definition of supported model for predicate normal programs. This has been done in [21]. D-WFS for predicate programs is presented in full detail in [11]. We can therefore define DWFS-DCOMP models with no problem.

With respect to the set constructor we proceed as follows. We continue working with Herbrand interpretations. But due to the equational theories for constructors, the predicate = defines an equivalence relation over the Herbrand Universe. But, we can always contract a model to a so-called normal model where = defines only an identity relation [24] as follows: Take the domain D' of I to be the set of equivalence classes determined by = in the domain  $U_P$  of I. Then use Herbrand  $\equiv$ -interpretations, where  $\equiv$  denotes that the domain is a quotient structure. We then should refer to elements in D' by [t], i.e. the equivalence class of the element t, but in order to make the text more readable, we will refer to the [t] elements just as t, keeping in mind that formally we are working with the equivalence classes of t. These details are explained in [14].

### 3.3 Adding Partial-Order Clauses

The first step is to obtain the flattened form [14] of every partial-order clause. Consider the following example:

```
f(X) \geq g(h(X)) \; ; \; f(Z) \geq \{m(Z)\} \; \leftarrow \; l(X) \, . then its flattened form is:
```

$$(\texttt{f}(\texttt{X}) \geq \texttt{X1} \leftarrow \texttt{h}(\texttt{X}) \texttt{=} \texttt{X2}, \ \texttt{g}(\texttt{X2}) \texttt{=} \texttt{X1} \ ; \ \texttt{f}(\texttt{Z}) \geq \big\{ \ \texttt{Z1} \ \big\} \leftarrow \texttt{m}(\texttt{Z}) \texttt{=} \ \texttt{Z1} \big) \leftarrow \texttt{1}(\texttt{X}) \, .$$

The second step is to transform this formula to a disjunctive clause. With this same example, we get:

 $\texttt{f(X)} \, \geq \, \texttt{X1;} \, \, \texttt{f(Z)} \, \geq \, \left\{ \, \, \texttt{Z1} \, \, \right\} \, \, \leftarrow \, \, \texttt{h(X)=X2,} \, \, \texttt{g(X2)=X1,} \, \, \texttt{m(Z)=Z1,} \, \, \texttt{1(X)} \, .$ As the third step we translate the clause to its relational form. Again, using this example, we get:

 $f_{>}(X,X1); f_{>}(Z,{Z1}) \leftarrow h_{=}(X,X2), g_{=}(X2,X1), m_{=}(Z,Z1), 1(X).$ These steps can easily be defined for the general case. We suggest the reader to see [26] to check the details.

The fourth step is to add axioms that related the predicate symbols  $f_{\pm}$  with f> for each functional symbol f. Let us consider again our example. The axioms for f in this case are as follows:

- $f_{=}(Z, S) \leftarrow f_{\geq}(Z, S), \neg f_{>}(Z, S)$
- (2)
- $f_{>}(Z, S) \leftarrow \overline{f_{\geq}}(Z,S1), S1 > S$   $f_{\geq}(Z, S) \leftarrow f_{\geq}(Z,S1), S1 > S$
- $f_{>}$  (Z,  $\perp$ ) (4)
- $f_{>}(Z,C) \leftarrow f_{>}(Z,C_1), f_{>}(Z,C_2), lub(C_1,C_2,C).$ (5)

We understand that S1 > S means that S1  $\geq$  S and S1  $\neq$  S.  $\perp$  is a constant symbol used to represent the bottom element of the lattice and  $lub(C_1, C_2, C)$ interprets that C is the least upper bound of  $C_1$  and  $C_2$ . The first two clauses are the same (modulo notation) as in definition 4.2 in [32]. Clause (5) is not useful for total-order domains. It is easy to see that symmetric definitions can be provided for  $f \leq$  symbols.

#### Allowing a General Formula as the Body Clause 3.4

Here, we adopt the approach suggested by Lloyd in his well known book [21]. The idea is to apply an algorithm that transform a clause (with a general formula as the body) into one or more clauses with simple bodies (i.e. conjunction of literals). Space limitations disallow us to explain the algorithm, instead, we work out an example and let the interested reader to check the details in the above mentioned reference.

$$\mathtt{rp}(\{\mathtt{X}\backslash_{\mathtt{-}}\}) \geq \{\mathtt{X}\} \leftarrow \forall \mathtt{Y}(\mathtt{d}(\mathtt{X},\mathtt{Y}) \rightarrow \mathtt{ontime}(\mathtt{X},\mathtt{Y}))$$

If we use the rules of [21] the translation becomes:

$$rp(\{X\setminus_{-}\}) \ge \{X\} \leftarrow \neg c(X)$$

$$c(X) \leftarrow d(X, Y), \neg ontime(X, Y).$$

where c is new predicate symbol.

#### **Explicit Negation** 3.5

We show how to reduce programs with explicit negation to programs without it. The idea is originally considered in [4] but we have also explored it in [28]. Let T be the program that includes explicit negation. Let P be the program T plus the following set of clauses:

$$-p(X_1,\ldots,X_n) \leftarrow \neg p(X_1,\ldots X_n)$$

for all predicate symbols p from the language of T. For any predicate symbol p occurring in P, let p' be a new predicate symbol of the same arity. The atom  $p'(t_1, \ldots, p_n)$  will be called the *positive form* of the negative  $literal_e - p(t_1, \ldots, p_n)$ . Every positive  $literal_e$  is, by definition its own form. Let P' the program that we obtain form P by replacing every  $literal_e$  by its positive form.

There is a simple way of evaluating queries in T. To obtain an answer for p run queries p and p' on P'. If the answer to p is yes then we say that T derives p. If the answer to p' is yes then we say that T does not derives p.

### 4 Conclusions

We presented our notion of HLNM program. It includes standard logic programming plus disjunctions, partial-order clauses, two kinds of negation and general body formulas. For this purpose, we had to define a new declarative semantics (an hybrid of D-WFS and supported models) that is suitable to express the above mentioned notions. To our knowledge, this is the first proposal that extends Logic Programming that far. We presented several and different interesting problems considered in the literature. HLNN programming defines the intended meaning of each of them. Our paradigm combines several ideas from several authors and our own earlier work and it seems promising.

The bad news is that the operational semantics of the full language is not computable. However we can identify large and interesting fragments of the language that are computable. Our main research on this direction is presented in [23,22].

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